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Attorney Docket # 5367-226RCE

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Werner PLASS et al.

Serial No.: 10/631,384

Filed: July 31, 2003

For: Surface Emitting Semiconductor Laser Chip and
Method for Producing the Chip

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Examiner: Sefer, Ahmed N.
Group Art: 2862

I hereby certify that this correspondence is being
deposited with the United States Postal Service with
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addressed to: Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450, on

April 12, 2006
(Date of Deposit)

Lance J. Lieberman

Name of applicant, assignee or Registered Representative

Signature

April 12, 2006
Date of Signature

LETTER

S I R:

Submitted herewith are the following documents:

1. Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address
2. STATEMENT Under 37 CFR 3.73(b)

It is believed that no fees or charges are required at this time in connection with the present application. However, if any fees or charges are required at this time, they may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

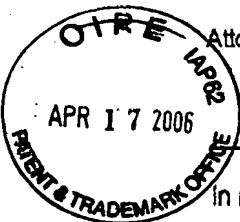
Respectfully submitted,

COHEN, PONTANI, LIEBERMAN & PAVANE

By

Lance J. Lieberman
Reg. No. 28,437
551 Fifth Avenue
New York, New York 10176
(212) 687-2770

April 12, 2006



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P.O. Box 1450
Alexandria, VA 22313-1450**REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY
AND CHANGE OF CORRESPONDENCE ADDRESS**

Sir:

The assignee of record (Statement under 37 CFR 3.73(b) is enclosed) hereby revokes all previous Powers of Attorney in connection with this application, and the following attorneys are appointed to transact all business in the United States Patent and Trademark Office in connection therewith:

All the attorneys associated with Customer No. 27799

Address all correspondence to:

Thomas Langer, Esq.
Cohen, Pontani, Lieberman & Pavane
551 Fifth Avenue, Suite 1210
New York, New York 10176

Address all telephone calls to Thomas Langer at telephone no. (212) 687-2770.

Osram Opto Semiconductors GmbH (Assignee)

Dated: March 20, 2006Signature: [Signature]Name: Dr. Rüdiger R. WittgenTitle: CEOCFO

Attorney Docket # 5367-226RCE

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April 12, 2006
(Date of Deposit)

George J. Lieberman
Name of applicant, assignee or Registered Representative

Signature

April 12, 2006
Date of Signature

CERTIFICATE UNDER 37 CFR 3.73(b)

Osram Opto Semiconductors GmbH, a corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 016312, Frame 0941, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: __ To:
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

2. From: __ To:
The document was recorded in the Patent and Trademark Office at Reel __, Frame __, or for which a copy thereof is attached.

3. From: __ To:
The document was recorded in the Patent and Trademark Office at
Reel __, Frame __, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet.

[X] Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

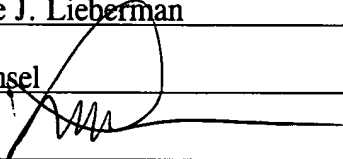
The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: April 12, 2006

Name: Lance J. Lieberman

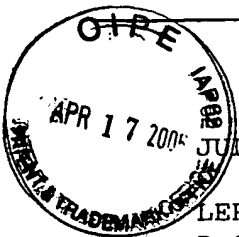
Title: Counsel

Signature: 



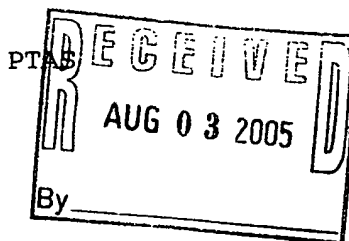
UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



JULY 27, 2005

LERNER AND GREENBERG
P.O. BOX 2480
HOLLYWOOD, FL 33022



102952121A

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 02/28/2005

REEL/FRAME: 016312/0941
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PLASS, WERNER

DOC DATE: 08/14/2004

ASSIGNOR:

JUNG, CHRISTIAN

DOC DATE: 08/07/2004

ASSIGNOR:

ALBRECHT, TONY

DOC DATE: 08/07/2004

ASSIGNOR:

STRELLER, UDO

DOC DATE: 08/06/2004

ASSIGNEE:

OSRAM OPTO SEMICONDUCTORS GMBH
WERNERWERKSTRASSE 2
REGENSBURG, GERMANY 93049

016312/0941 PAGE 2

SERIAL NUMBER:. 10631384

FILING DATE: 07/31/2003

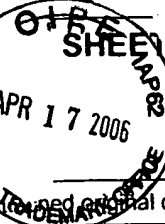
PATENT NUMBER:

ISSUE DATE:

TITLE: SURFACE EMITTING SEMICONDUCTOR LASER CHIP AND METHOD FOR PRODUCING
THE CHIP

MARY BENTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

03-03-2005



U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

Tab settings →→→

102952121

To the Honorable Commissioner for Patents: Please record the enclosed original documents or copy thereof.

1. Name of conveying party(ies):

- 1) Werner Plass 2) Christian Jung
3) Tony Albrecht 4) Udo Streller

(Additional name(s) of conveying party(ies) attached) ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: August 14, 2004 for 1, August 7, 2004 for 2 and 3, August 6, 2004 for 4.

2. Name and address of receiving party(ies)

Name: OSRAM Opto SemiconductorsGmbHStreet Address: Wernerwerkstrasse 2City: RegensburgState: GermanyZIP: 93049Additional name(s) & address(es) attached ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/631,384

B. Patent No.(s)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lerner and Greenberg

Internal Address

Street Address: P.O. Box 2480City: HollywoodState: FLZIP: 33022

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

RALPH E. LOCHER
REG. NO. 41,947

Name of Person Signing

Signature

February 11, 2005

Date

Total number of pages including cover sheet, attachments, and document:

5

03/02/2005 ECOMPER 00000354 10631384

01 FC:8021

40.00

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

) FC:

ASSIGNMENT

WHEREAS WE, WERNER PLASS, CHRISTIAN JUNG, TONY ALBRECHT and UDO STRELLER, residing respectively at REGENSBURG, SINZING, BAD ABBACH and REGENSTAUF, Germany, have invented certain new and useful improvements in a

SURFACE EMITTING SEMICONDUCTOR LASER CHIP AND METHOD FOR PRODUCING THE CHIP

for which an application for Letters Patent of the United States of America was executed on the date of execution of this assignment;

WHEREAS, OSRAM OPTO SEMICONDUCTORS GMBH, a German limited liability company doing business at Regensburg, Germany, is desirous of acquiring the entire interest in said invention, and in any and all Letters Patent of the United States that may be obtained therefor;

NOW, THEREFORE, be it known that for and in consideration of our employment by the said company and other valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said company, its legal representatives, successors and assigns, the full and exclusive right to the said invention as fully set forth and described in the above-mentioned application, and to said application and any and all divisions and continuations thereof, and any and all Letters Patent of the United States which may be granted therefor, and any and all reissues of said Letters Patent, the same to be held and enjoyed by the said company, its legal representatives, successors and assigns, to the full end of the term for which said Letters Patent may be granted or may be reissued or extended, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

AND WE hereby authorize the Commissioner for Patents to issue any and all Letters Patent of the United States on said invention or resulting from said Application and from any and all divisions and continuations thereof to the said company as the assignee of the entire right, title and interest in and to the same.

IN TESTIMONY WHEREOF, we have hereunto set our hands and seals.

In the presence of:

John Chittine
Witness

Werner Plass (LS) Date: 2003-08-14
WERNER PLASS

Witness

_____(LS) Date: _____
CHRISTIAN JUNG

Witness

_____(LS) Date: _____
TONY ALBRECHT

Witness

_____(LS) Date: _____
UDO STRELLER

ASSIGNMENT

WHEREAS WE, WERNER PLASS, CHRISTIAN JUNG, TONY ALBRECHT and UDO STRELLER, residing respectively at REGENSBURG, SINZING, BAD ABBACH and REGENSTAUF, Germany, have invented certain new and useful improvements in a

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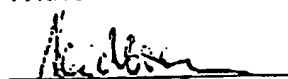
NOW, THEREFORE, be it known that for and in consideration of our employment by the said company and other valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said company, its legal representatives, successors and assigns, the full and exclusive right to the said invention as fully set forth and described in the above-mentioned application, and to said application and any and all divisions and continuations thereof, and any and all Letters Patent of the United States which may be granted therefor, and any and all reissues of said Letters Patent, the same to be held and enjoyed by the said company, its legal representatives, successors and assigns, to the full end of the term for which said Letters Patent may be granted or may be reissued or extended, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

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In the presence of:

Witness



Witness

Witness

Witness

_____(LS) Werner PLASS

Date: _____

 (LS) CHRISTIAN JUNG

Date: 07.08.2003

_____(LS) TONY ALBRECHT

Date: _____

_____(LS) UDO STRELLER

Date: _____

ASSIGNMENT

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NOW, THEREFORE, be it known that for and in consideration of our employment by the said company and other valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said company, its legal representatives, successors and assigns, the full and exclusive right to the said invention as fully set forth and described in the above-mentioned application, and to said application and any and all divisions and continuations thereof, and any and all Letters Patent of the United States which may be granted therefor, and any and all reissues of said Letters Patent, the same to be held and enjoyed by the said company, its legal representatives, successors and assigns, to the full end of the term for which said Letters Patent may be granted or may be reissued or extended, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

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In the presence of:

Witness

WERNER PLASS (LS) Date: _____

Witness

CHRISTIAN JUNG (LS) Date: _____



Witness

Tony Albrecht (LS) Date: 07. August 2003

Witness

UDO STRELLER (LS) Date: _____

ASSIGNMENT

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Witness

Witness

Witness

Witness

_____(LS) WERNER PLASS

_____(LS) CHRISTIAN JUNG

_____(LS) TONY ALBRECHT

_____(LS) UDO STRELLER

Date: _____

Date: _____

Date: _____

Date: 6. August 2003